
**TRANSMITTAL  
FORM**

(Use for all correspondence after initial filing)

	Application Number	09/895,869
	Filing Date	6/28/2001
	First Named Inventor	Matthijs A. Gates
	Group Art Unit	2613
	Examiner Name	JAMIE J VENT
Total Number of Pages in This Submission	Attorney Docket Number	MS1-906US

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) ( <i>please identify below</i> ): Fee Transmittal; Information Disclosure Statement; PTO-1449 Form; References Cited (27); Return Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	Steven R. Sponseller/Reg. No. 39,384
Signature	
Date	January 26, 2005

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Debra A. Fugitt		
Signature		Date	1/27/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JAN 31 2005

Effective on 10/01/2004.

Fees pursuant to the ~~Consolidated Appropriations Act, 2005 (H.R. 4818)~~

# FEE TRANSMITTAL

## For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

## Complete if Known

Application Number	09/895,869
Filing Date	6/28/2001
First Named Inventor	Matthijs A. Gates
Examiner Name	JAMIE J VENT
Art Unit	2613
Attorney Docket No.	MS1-906US

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Small Entity	Fee Paid (\$)
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity		
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		

## 2. EXCESS CLAIM FEES

## Fee Description

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

50 25

Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent

200 100

Multiple dependent claims

360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x 50	=				
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			

$$- 3 \text{ or HP} = \text{ } x 200 = \text{ }$$

HP = highest number of independent claims paid for, if greater than 3

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

## 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other: Information Disclosure Statement

180.00

## SUBMITTED BY

Signature		Registration No. 39,384 (Attorney/Agent)	Telephone (509) 324-9256
Name (Print/Type)	Steven R. Sponseller		Date 1-26-05

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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1 THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No.....09/895,869  
Filing Date .....6/28/2001  
3 Confirmation No. ....5370  
Inventorship .....Matthijs A. Gates  
4 Applicant .....Microsoft Corporation  
Group Art Unit .....2613  
5 Examiner .....JAMIE J VENT  
Attorney's Docket No. ....MS1-0906US  
6 Title: Method and Apparatus for Recording Broadcast Data

7 **INFORMATION DISCLOSURE STATEMENT**

8 **References – See Attached Form PTO-1449**

9 To: Commissioner for Patents  
10 PO Box 1450  
11 Alexandria, VA 22313-1450

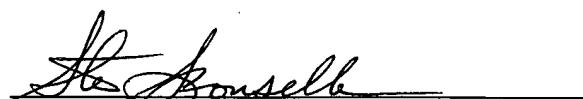
12 From: Steven R. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
13 421 W. Riverside Avenue, Suite 500  
14 Spokane, WA 99201

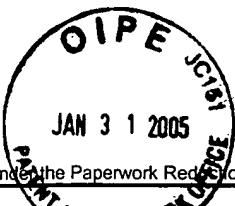
15 The attached form PTO-1449 is submitted in compliance with Applicant's duty of  
16 disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of  
17 official record in this application.

18 The Commissioner is hereby authorized to charge payment of fees or credit  
19 overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).  
20

21 Dated: 1-26-05

22 By:

  
23 Steven R. Sponseller  
24 Reg. No. 39,384  
25



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Substitute for form 1615 PTO				<b>Complete if Known</b>	
				Application Number	09/895,869
				Filing Date	6/28/2001
				First Named Inventor	Matthijs A. Gates
				Art Unit	2613
				Examiner Name	JAMIE J VENT
Sheet	1	of	3	Attorney Docket Number	MS1-906US

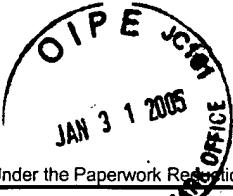
U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US- 5,774,186		06-30-1998	Brodsky et al	
		US- 5,915,094		06-22-1999	Kouloheris et al	
		US- 6,065,042		05-16-2000	Reimer et al	
		US- 6,208,804	B1	03-27-2001	Ottesen et al	
		US- 5,627,936		05-06-1997	Prasad et al	
		US- 6,034,738		03-07-2000	Sparks	
		US- 5,611,066		03-11-1997	Keele et al	
		US- 6,628,890	B1	09-30-2003	Yamamoto et al	
		US- 5,990,899		11-23-1999	Whitten	
		US- 5,659,674		08-19-1997	Doyle et al	
		US- 5,987,257		11-16-1999	Whitten	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		WO 01/11865 A1		02-15-2001	British Sky Broadcasting		
		JP 2000 224543A		08-11-2000	Matsushita Electric Ind		
		EP 0 924 927 A2		06-23-1999	Matsushita Electric Ind		
		WO 00/60590		10-12-2000	Microsoft Corporation		
		WO 01/15451 A1		03-01-2001	Enreach Technology Inc		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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 Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
Sheet	2	of	3	Application Number	09/895,869
				Filing Date	6/28/2001
				First Named Inventor	Matthijs A. Gates
				Art Unit	2613
				Examiner Name	JAMIE J VENT
				Attorney Docket Number	MS1-906US

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

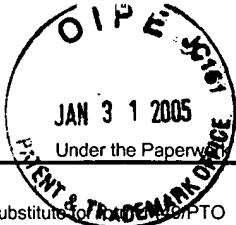
Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		DE 198 44 635 A1	04-15-1999	Sony Electronics Inc		
		EP 0 903 738 A2	03-24-1999	Matsushita Electric Ind		
		EP 1 244 295 A2	09-25-2002	British Sky Broadcasting		
		WO 00/40021	07-06-2000	Koninkl Philips Elec		
		EP 1 206 132 A2	05-15-2002	Tokyo Shibaura Elec		
		EP 1 162 839 A2	12-12-2001	Matsushita Electric Ind		
		WO 01/35405 A1	05-17-2001	Thomson Licensing SA		
		WO 00/33568	06-08-2000	Diva Systems Corp		

Examiner Signature		Date Considered	
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Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1459 PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(use as many sheets as necessary)**

Sheet 3 of 3 Attorney Docket Number MS1-906US

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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